



SUPPLY CHAIN SECURITY CHECKLIST

Supplier Name	IGLOO PRODUCTS CORP.	Date of Audit	November 9, 2017
Facility Name	Yongkang Xinshidai Industry Co. Ltd.	Audit Type	Annual
Facility Address	No.27, Jinshan East Rd, Hardware Science Technology Industry Zone Yongkang, Jinhua Zhejiang, China	Auditor Name	V.Jin/ A. Zhou
Name / Title of Facility Representative	Mr. Feng Wenming/ Office Director	Item Description	No order now
		Costco Item Number (Optional)	Not provided

Total Number of Non-Compliances	Destination Countries
1	<input checked="" type="checkbox"/> United States <input type="checkbox"/> Canada <input type="checkbox"/> Mexico <input type="checkbox"/> Japan <input type="checkbox"/> Korea <input type="checkbox"/> Taiwan <input type="checkbox"/> United Kingdom <input type="checkbox"/> Spain <input type="checkbox"/> France <input type="checkbox"/> Australia <input type="checkbox"/> Iceland
Audit Score (Percentage of Yes Answers)	
94%	

Have Costco products been shipped out? If yes, when? Yes, April 2017

1. Facility has a written security procedures plan.	YES
2. Facility conducts routine security awareness training and education for all employees.	YES
3. Auditors observed that the facility is enclosed with a fence or wall.	YES
4. Facility has security guards at entry points during normal working hours.	YES
5. Facility has access controls to prevent unauthorized entry during non-business hours.	YES
6. Visitors are checked for entry permission and escorted while on facility premises.	YES
7. Visitors are required to provide proof of identity and record logs are kept.	NO
8. Facility reports that drivers of containers are identified and checked prior to entry authorization.	YES
9. Facility reports that container paperwork and seals are checked prior to exit from facility.	YES
10. Facility maintains standard employment documentation for all personnel as required per the local labor laws.	YES
11. Facility conducts pre-employment screening on prospective employees, as well as periodic background checks once employed.	YES
12. Auditors observed that all employees are required to wear identification badges.	YES
13. Facility reports that all containers are loaded on facility site and auditors observed a secure loading area.	YES
14. Facility maintains secure loading and cargo areas to prevent unauthorized access and facility has procedures to report any unauthorized entry.	YES
15. Facility conducts empty container inspections and utilizes a checklist form.	YES
15a. Specify the type of checklist utilized: (Costco's or others)	<i>Others</i>
16. Facility properly stores empty and full containers on site to prevent unauthorized access.	YES
17. Facility has security procedures in place to ensure that all confidential business computer data is protected against unauthorized access, loss, theft, or tampering.	YES

Comments
None



**FACILITY SECURITY AUDIT SUMMARY REPORT
and
CORRECTIVE ACTION PLAN (CAP)**

Supplier Name	IGLOO PRODUCTS CORP.	Audit Type	Annual	Destination Countries		
Facility Name	Yongkang Xinshidai Industry Co. Ltd.	Item Description	No order now	<input checked="" type="checkbox"/> United States	<input type="checkbox"/> Canada	<input type="checkbox"/> Mexico
Facility Address	No.27, Jinshan East Rd, Hardware Science Technology Industry Zone Yongkang, Jinhua Zhejiang,China	Costco Item Number (Optional)	Not provided	<input type="checkbox"/> Japan	<input type="checkbox"/> Korea	<input type="checkbox"/> Taiwan
				<input type="checkbox"/> United Kingdom	<input type="checkbox"/> Spain	<input type="checkbox"/> France
				<input type="checkbox"/> Australia	<input type="checkbox"/> Iceland	

Instructions for Facility	Total Number of Non-Compliances	Audit Score (Percentage of Yes Answers)
<ul style="list-style-type: none"> Please review the below Recommended Corrective Action(s) and Remediation Timeframes For questions, please contact Costco Wholesale's Customs Compliance team at supplychainsecurity@costco.com 	1	94%

FOR AUDITOR INPUT				FOR FACILITY INPUT
Supply Chain Security Checklist Non-Compliance Points Observed	Auditor Comments and/or Descriptions	Recommended Corrective Action(s)	Remediation Timeframe	Facility Comments (if applicable)
7. Visitors are not required to provide proof of identity or the facility does not keep visitor record logs.	Upon arrival, visitors were randomly required to provide proof of identity.	Establish requirement for visitors to provide proof of identity and keep visitor record logs.	7 Calendar Days	

Supplier Name	IGLOO PRODUCTS CORP.	Total Number of Non-Compliances	1	Audit Score	94%
Facility Name	Yongkang Xinshidai Industry Co. Ltd.				

FACILITY ACKNOWLEDGEMENT

A signature on this Audit Summary Report signifies your agreement and understanding of the above findings and the Corrective Action Plan (CAP).

On file	On file
Facility Signature	Auditor Signature
Mr. Feng Wenming/ Office Director	V.Jin/ A. Zhou
Facility Representative Name / Title	Auditor Name
Thursday, November 9, 2017	Thursday, November 9, 2017
Date	Date